

**Annexure – B****Investor Complaints Data - Trans Scan Securities Pvt. Ltd.****31 JANUARY 2022**

| S<br>N | Received<br>from              | Carried<br>forward<br>from<br>previous<br>month | Received<br>during<br>the<br>month | Total<br>Pending | Resolved   | Pending at the end<br>of the month      |                                         | Average<br>Resolution<br>time^<br>(in days) |
|--------|-------------------------------|-------------------------------------------------|------------------------------------|------------------|------------|-----------------------------------------|-----------------------------------------|---------------------------------------------|
|        |                               |                                                 |                                    |                  |            | Pending<br>for less<br>than 3<br>months | Pending<br>for more<br>than 3<br>months |                                             |
| 1      | Directly<br>from<br>Investors | NIL                                             | NIL                                | NIL              | NIL        | NIL                                     |                                         | NIL                                         |
| 2      | SEBI<br>(SCORES)              | NIL                                             | NIL                                | NIL              | NIL        | NIL                                     |                                         | NIL                                         |
| 3      | Stock<br>Exchanges            | NIL                                             | NIL                                | NIL              | NIL        | NIL                                     |                                         | NIL                                         |
| 4      | Other<br>Sources<br>(if any)  | NIL                                             | NIL                                | NIL              | NIL        | NIL                                     |                                         | NIL                                         |
| 5      | <b>Grand<br/>Total</b>        | <b>NIL</b>                                      | <b>NIL</b>                         | <b>NIL</b>       | <b>NIL</b> | <b>NIL</b>                              |                                         | <b>NIL</b>                                  |

**Trend of monthly disposal of complaints**

| SN | Month              | Carried<br>forward<br>from<br>previous<br>month | Received   | Resolved   | Pending    |
|----|--------------------|-------------------------------------------------|------------|------------|------------|
| 1  | April -2021        | NIL                                             | NIL        | NIL        | NIL        |
| 2  | May-2021           | NIL                                             | NIL        | NIL        | NIL        |
| 3  | June-2021          | NIL                                             | NIL        | NIL        | NIL        |
| 4  | July-2021          | NIL                                             | NIL        | NIL        | NIL        |
| 5  | August-2021        | NIL                                             | NIL        | NIL        | NIL        |
| 6  | September-2021     | NIL                                             | NIL        | NIL        | NIL        |
| 7  | October-2021       | NIL                                             | NIL        | NIL        | NIL        |
| 8  | November-2021      | NIL                                             | NIL        | NIL        | NIL        |
| 9  | December-2021      | NIL                                             | NIL        | NIL        | NIL        |
| 10 | January-2022       | NIL                                             | NIL        | NIL        | NIL        |
|    | <b>Grand Total</b> | <b>NIL</b>                                      | <b>NIL</b> | <b>NIL</b> | <b>NIL</b> |

**Trend of annual disposal of complaints**

| <b>SN</b> | <b>Year</b>        | <b>Carried forward<br/>from previous<br/>year</b> | <b>Received during<br/>the year</b> | <b>Resolved<br/>during the<br/>year</b> | <b>Pending at<br/>the end of the<br/>year</b> |
|-----------|--------------------|---------------------------------------------------|-------------------------------------|-----------------------------------------|-----------------------------------------------|
| 1         | 2017-18            | NIL                                               | NIL                                 | NIL                                     | NIL                                           |
| 2         | 2018-19            | NIL                                               | NIL                                 | NIL                                     | NIL                                           |
| 3         | 2019-20            | NIL                                               | NIL                                 | NIL                                     | NIL                                           |
| 4         | 2020-21            | NIL                                               | NIL                                 | NIL                                     | NIL                                           |
| 5         | 2021-22            | -                                                 | -                                   | -                                       | -                                             |
|           | <b>Grand Total</b> | <b>NIL</b>                                        | <b>NIL</b>                          | <b>NIL</b>                              | <b>NIL</b>                                    |